

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
14531.53.4

Total Pages in this Submission

## TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**AUTOMATIC COMPILING OF ADDRESS FILTER INFORMATION**

and invented by:

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Daniel J. Shoff  
Soemin Tjong

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

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Enclosed are:

### Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 29 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
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**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal                      Number of Sheets 5
- b. ☐ Informal                      Number of Sheets \_\_\_\_\_
4. ☐ Oath or Declaration
- a. ☐ Newly executed *(original or copy)*                      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☐ With Power of Attorney                      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☐ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449                      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class    ☒ Express Mail *(Specify Label No.):* EE 258 497 479 US

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**Accompanying Application Parts (Continued)**

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Additional Enclosures (please identify below):

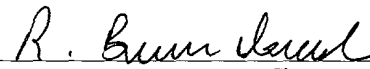
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**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	22	- 20 =	2	x \$18 00	\$36.00
Indep. Claims	3	- 3 =	0	x \$78.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$760.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$796.00

- ☒ A check in the amount of \$796.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
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Dated: August 10, 1999

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**UNITED STATES PATENT APPLICATION**

of

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for

**AUTOMATIC COMPILING OF  
ADDRESS FILTER INFORMATION**

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**BACKGROUND OF THE INVENTION**

**1. Related Applications**

This application claims the benefit of U.S. Provisional Application No. 60/122,859, filed March 4, 1999, which is incorporated herein by reference in its entirety.

**2. The Field of the Invention**

The present invention relates to electrical computers and data processing systems. Specifically, the present invention relates to a system and method for automatically compiling address filter information.

**3. The Prior State of the Art**

The Internet is a worldwide interconnection of networks over which computers can exchange information. Never before have human beings had access to so much information from the comfort of their own homes or offices. For Wide Area Networks (WANs) such as the Internet, a computer typically employs a modem to send information to and receive information from other connected computers. There are many types of modems each corresponding to a specific type of medium used to deliver this information.

One common modem uses Plain Old Telephone Service (POTS) to send and receive information. POTS modems have an advantage in that they use a transmission medium that is available by just connecting the modem with the nearest phone jack. Thus, the POTS modem does not require expensive capital investment to set up a connection to the Internet. Although POTS modems are easy and inexpensive to connect to the Internet, the transmission speeds available over plain old telephone service are relatively slow.

1 Currently, POTS modems are capable of information exchange at up to only 56,000 bits per  
2 second (bps) or approximately 0.056 megabits per second (Mbps). While faster POTS  
3 modems may eventually become available, it is not currently anticipated that POTS modems  
4 can become significantly faster due to physical limitations in the telephone lines themselves.

5 Telephone companies are now offering services that bypass the relatively slow  
6 telephone lines to establish a more direct connection to the Internet. For example, T1  
7 connections permit information exchange in the megabits per second (Mbps) range. T2 and  
8 T3 connections allow for even higher speed information exchange. Currently, T1, T2 and  
9 T3 connections respectively permit information exchange at approximately 1.544 Mbps,  
10 6.312 Mbps and 44.736 Mbps. Although these direct T1, T2 and T3 connections permit  
11 information exchange orders of magnitude faster than is available over plain old telephone  
12 service, they are typically much more expensive to obtain and/or maintain than plain old  
13 telephone service since each connection requires dedicated circuitry. Furthermore,  
14 telephone companies typically provide both the plain old telephone service and the T1, T2  
15 and T3 connections. To facilitate free market competition, it would be desirable for a  
16 consumer to have alternative suppliers of Internet access.

17 A cable modem is a device that hooks up to the Internet through a cable system  
18 provided by a cable operator. Assuming that the cable operator facilitates Internet access as  
19 many cable operators do, Internet access is obtained by plugging the cable modem into a  
20 cable connection that typically already exist (or may be readily installed) in many homes  
21 and business. Thus, the cost of connecting a cable modem to the Internet is relatively small  
22 compared to establishing T1, T2 and T3 connections since the extensive cable network that  
23 supports communication already exists. Thus, there is no need to establish extensive  
24 dedicated circuitry to support each new end user.

1 Furthermore, dialing into the Internet is not needed since data may be communicated  
2 to or from the end-user over the cable system without dialing into the cable system. Thus,  
3 the initial connection to the Internet is much faster compared to using plain old telephone  
4 service.

5 In addition, cable modems allow for much faster information exchange than is  
6 available over plain old telephone service. An individual cable modem end user may  
7 experience information exchange speeds of from 0.5 Mbps to 1.0 Mbps or more depending  
8 on the cable network architecture and traffic load. This represents a speed that is at least an  
9 order of magnitude faster than is currently available over plain old telephone service.  
10 Furthermore, cable information exchange speeds may be further increased due to future  
11 improvements in cable technology. Thus, cable modems provide a low cost, high-speed  
12 alternative for Internet access.

13 Typically, the cable networks are considered "open," meaning that any particular  
14 cable modem is likely to encounter some incoming data packets that are addressed to the  
15 computer system associated therewith and other data packets addressed to unrelated  
16 computer systems. The cable modem uses address filter information to filter the incoming  
17 data packets to allow passage for only those data packets that are addressed to the computer  
18 system associated with the cable modem.

19 This filtering avoids unnecessary processing of packets that are not addressed to the  
20 computer system associated with the cable modem. However, this filtering assumes that the  
21 cable modem is aware of the address of all of its associated computer systems. However, on  
22 occasion, the cable modem may not be aware of the correct address of an associated  
23 computer system. In this case, the packet addressed to that computer system would be  
24 filtered out by the cable modem, leaving the incoming message undelivered. Although the

1 cable modem may possibly be manually reconfigured to accept packets addressed to the  
2 computer system, the user associated with the computer system may not ever know that a  
3 message was undelivered and so might remain unaware of the problem for quite some time.

4 In light of this difficulty with the prior art, there is a need for systems and methods  
5 for automatically correcting or updating address filter information. It would be  
6 advantageous if such systems and methods could be used to maintain current address filter  
7 information as a new computer is added to a group of locally networked computers that  
8 receive network services from a cable modem.



1                                    **SUMMARY AND OBJECTS OF THE INVENTION**

2            The present invention relates to systems and methods for automatically compiling  
3 address filter information that permits a cable modem to appropriately route incoming data  
4 packets to destination computers. Cable modems are used to send and receive messages  
5 over wide area networks using cable networks. These cable networks are often “open” so  
6 that the cable modem encounters data packets addressed to its associated computer system  
7 as well as data packets addressed to unrelated computer systems on the cable network.  
8 Cable modems use address filter information to filter out those incoming data packets that  
9 are addressed to unrelated computer systems on a cable network. The cable modem only  
10 passes those packets addressed to its associated computer system.

11           The address filter information might be, for example, a list of addresses of  
12 communication devices that have previously registered with a processing device such as a  
13 bridging component associated with the cable modem. In the specification and the claims, a  
14 “communication device” is defined as any device potentially capable of sending data  
15 packets to and receiving data packets from a cable modem. Examples of “communication  
16 devices” include computers, set top boxes, and any other electronic device that has the  
17 capability of accessing data on the Internet or otherwise receiving or sending network data.  
18 If a packet has a destination address that matches one of the addresses, the cable modem will  
19 pass the packet to the computer system.

20           On occasion, the cable modem may have incorrect or insufficient filter information.  
21 For example, the cable modem may not know that a communication device has been  
22 connected to it. Alternatively, the cable modem may have an incorrect address for the  
23 communication device. In these cases, incoming data packets addressed to the  
24 communication device will be incorrectly filtered out by the cable modem leaving the

1 message undelivered.

2       The present invention overcomes this problem. Specifically, a processing device  
3 (e.g., a bridging component) associated with the cable modem inspects the source address of  
4 any outgoing data packets to determine the address of the communication device that  
5 generated the outgoing data packet. The processing component might be, for example, a  
6 driver for the cable modem or a separate bridging component that interconnects the cable  
7 modem to a local area network. The processing component then compares the source  
8 address within the data packet with the address list of previously registered communication  
9 devices. If the address is not included within the list, the processing device has not  
10 previously registered the communication device that sent the data packet and has not  
11 previously recognized it as a destination device that is to receive incoming data packets via  
12 the cable modem. Thus, the address of the previously unregistered communication device is  
13 added to the list of addresses. Subsequently, the cable modem will pass incoming data  
14 packets destined for that previously unregistered communication device.

15       In the foregoing manner, the processing device associated with the cable modem is  
16 capable of automatically recognizing a new communication device that is to receive  
17 incoming data packets via the cable modem. When the new communication device first  
18 sends an outgoing data packet through the cable modem, its network address is added to the  
19 address filter of the cable modem. The user of the new communication device does not need  
20 to know or perform any particular manual address registration procedures to configure the  
21 cable modem to route incoming data packets to the new communication device.

22       Additional objects and advantages of the invention will be set forth in the description  
23 which follows, and in part will be obvious from the description, or may be learned by the  
24 practice of the invention. The objects and advantages of the invention may be realized and

1 obtained by means of the instruments and combinations particularly pointed out in the  
2 appended claims. These and other objects and features of the present invention will become  
3 more fully apparent from the following description and appended claims, or may be learned  
4 by the practice of the invention as set forth hereinafter.

**BRIEF DESCRIPTION OF THE DRAWINGS**

In order that the manner in which the above-recited and other advantages and objects of the invention are obtained, a more particular description of the invention briefly described above will be rendered by reference to specific embodiments thereof which are illustrated in the appended drawings. Understanding that these drawings depict only typical embodiments of the invention and are not therefore to be considered limiting of its scope, the invention will be described and explained with additional specificity and detail through the use of the accompanying drawings in which:

Figure 1 is a schematic drawing of a network system that provides a suitable operating environment for the present invention;

Figure 2 is a schematic drawing of a client of Figure 1 in which the present invention can be implemented;

Figure 3 is a schematic drawing of the physical internal components of the computer system of Figure 2;

Figure 4 is a schematic drawing of the physical and software communication components of the computer system of Figure 2 and Figure 3 in accordance with the present invention; and

Figure 5 is a flowchart showing a method for processing an outgoing signal using the computer system of Figure 4.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention relates to systems and methods for automatically compiling address filter information. Cable modems are used to send and receive messages over wide area networks using cable networks. These cable networks are typically maintained by cable operators and are often "open" so that the cable modem encounters data packets addressed to its associated communication devices as well as data packets addressed to unrelated communication devices on the cable network. Cable modems use address filter information to filter out those incoming data packets that are addressed to unrelated communication devices on a cable network. Instead, the cable modem only passes those packets addressed to its associated communication devices.

The present invention provides an automatic process whereby the network address of a new communication device logically connected to a cable modem is registered with a bridging component or other processing device associated with the cable modem. Specifically, the bridging component inspects the source address of outgoing data packets generated by an associated communication device. When a new communication device is first connected to a cable modem and is used to transmit an outgoing data packet, the bridging component or other processing component associated with the cable modem determines that the source address (i.e., the address of the new communication device) has not previously been encountered. In response, the bridging component adds the address as an acceptable destination address to the address filter information. Subsequently, the bridging component associated with the cable modem will recognize and pass any data packets having a destination address that is the same as the newly added address. Thus, the address filter information is quickly updated as soon as the bridging component processes an outgoing message from the relevant communication device.

1 In the following description, for clarity, numerous specific details are set forth in  
2 order to provide a thorough understanding of the invention. However, it will be evident to  
3 one skilled in the art that the present invention may be practiced without these specific  
4 details. In other instances, well-known structures and devices are shown in block diagram  
5 form in order to avoid obscuring the present invention.

6 Embodiments within the scope of the present invention include computer-readable  
7 media having computer-executable instructions or data structures stored thereon. Such  
8 computer-readable media can be any available media which can be accessed by a general  
9 purpose or special purpose computer. By way of example, and not limitation, such  
10 computer-readable media can comprise RAM, ROM, EEPROM, CD-ROM or other optical  
11 disk storage, magnetic disk storage or other magnetic storage devices, or any other medium  
12 which can be used to store the desired computer-executable instructions or data structures  
13 and which can be accessed by a general purpose or special purpose computer. When  
14 information is transferred or provided over a network or another communications connection  
15 to a computer, the computer properly views the connection as a computer-readable medium.  
16 Thus, such a connection is also properly termed a computer-readable medium.  
17 Combinations of the above should also be included within the scope of computer-readable  
18 media. Computer-executable instructions comprise, for example, instructions and data  
19 which cause a general-purpose computer, special purpose computer, or special purpose  
20 processing device to perform a certain function or group of functions.

21 Although not required, the invention will be described in the general context of  
22 computer-executable instructions, such as program modules, being executed by computers  
23 in network environments. Generally, program modules include routines, programs, objects,  
24 components, data structures, etc. that perform particular tasks or implement particular

1 abstract data types. Computer-executable instructions, associated data structures, and  
2 program modules represent examples of the program code means for executing steps and  
3 acts of the methods disclosed herein.

4 Those skilled in the art will appreciate that the invention may be practiced in  
5 network computing environments with many types of computer system configurations,  
6 including personal computers, hand-held devices, multi-processor systems, microprocessor-  
7 based or programmable consumer electronics, network PCs, minicomputers, mainframe  
8 computers, and the like. The invention may also be practiced in distributed computing  
9 environments where tasks are performed by local and remote processing devices that are  
10 linked through a communications network. In a distributed computing environment,  
11 program modules may be located in both local and remote memory storage devices.

12 Figure 1 illustrates a configuration of a wide area network 100 that provides a  
13 suitable operating environment for the present invention. A client 110 is coupled to a Cable  
14 Modem Termination System (CMTS) 120 via a cable network 130. The cable network 130  
15 is a distributed network that cable operators typically have used to provide television  
16 programming to customers. The CMTS 120 is also maintained by the cable operators and  
17 functions to communicate with a number of remote servers 140 (e.g., conventional Web  
18 servers) over a conventional network infrastructure, such as the Internet 150.

19 Outgoing messages destined for one of the remote servers 140 may be  
20 communicated by the client 110 over the cable network 130 to the CMTS 120. The CMTS  
21 120 forwards the outgoing message over the Internet 150 to an appropriate remote server  
22 140. In response, the remote server 140 generates an incoming message and transmits the  
23 incoming message over the Internet 150 to the CMTS 120. The CMTS 120 then uses the  
24 cable network 130 to forward the incoming message to the client 110. In this description

1 and in the claims, an “outgoing” packet means a packet generated within the client 110 that  
2 is destined for either one of the remote servers 140 or a component within the client 110.  
3 An “incoming” packet means a packet generated by one of the remote servers 140 or the  
4 CMTS 120 that is destined for the client 110.

5 Figure 2 illustrates the client 110 of Figure 1 in which embodiments of the present  
6 invention may be implemented. The client 110 includes a computer system 210, an input  
7 device 220, and one or more items of Customer Premises Equipment (CPE) 230.

8 The computer system 210 may be a set top box, a personal computer, a workstation,  
9 a network computer, or any other special purpose or general purpose computer system  
10 capable of performing the steps and acts of the present invention. In the specification and  
11 the claims, computer system 210 is also referred to as a “processing device” associated with  
12 a cable modem or bridging component. Computer system 210 includes a cable modem that,  
13 in one embodiment, is integrally or internally included in the computer system. In other  
14 embodiments, however, address filtering information can be automatically compiled for  
15 cable modems that are externally located with respect to its associated computer system.

16 The input device 220 may be any device capable of generating control information  
17 and passing that information to the computer system 210 over an input link 240. For  
18 example, the input device 220 may be a keyboard, a mouse, a joystick, a remote control, or  
19 the like. If the input device 220 is a remote control, for example, the input link 240 would  
20 be an Infrared (IR) link. The input device 220 may also be integrated with the computer  
21 system 210 as desired.

22 The computer system 210 is connected to one or more items of customer premises  
23 equipment 230. In this description and in the claims, “customer premises equipment” means  
24 electronic equipment capable of receiving electronic messages from the computer system



1 210. For example, the customer premises equipment 230 may include personal computers,  
2 television sets, set-top boxes, workstations, network computers, or other electronic  
3 equipment. Each of the items of customer premises equipment 230 represents a  
4 communication device that can communicate via a cable modem as disclosed herein. In  
5 addition, each item of customer premises equipment represents a communication device  
6 having a network address that can be automatically registered by a processing component  
7 associated with the cable modem according to the invention.

8 In operation, the computer system 210 sends information to and receives information  
9 from the CMTS 120 over the cable network 130 using a standard such as the well-known  
10 Data Over Cable Service Interface Specification (DOCSIS) 1.0 standard. This DOCSIS  
11 standard is managed by the Multimedia Cable Network System (MCNS), an organization  
12 formed by major cable operators.

13 For incoming data from the cable network 130, depending on the network address  
14 provided with the incoming data, the computer system 210 either consumes the data itself,  
15 or passes the data to one or more of the items of customer premises equipment 230 over a  
16 system network 250. Although only one system network 250 is shown, the computer system  
17 210 may use multiple system networks to communicate with customer premises equipment  
18 230. For example, the system network 250 may be any medium capable of communicating  
19 information to and from the computer system 210 including one or more of an Ethernet, a  
20 Universal Serial Bus (USB), a fire wire (i.e. the IEEE 1394 standard), or any other  
21 equivalent medium.

22 For outgoing data to the cable network 130, the computer system 210 uploads data  
23 onto the cable network 130. The outgoing data was either generated internal to the  
24

1 computer system 210 or was received over the system network 250 from one of the items of  
2 customer premises equipment 230.

3 Figure 3 shows the internal hardware components of the computer system 210  
4 according to one embodiment of the invention. Operation of the computer system 210 is  
5 controlled by a Central Processing Unit (CPU) 320, which is coupled to an Application-  
6 Specific Integrated Circuit (ASIC) 310. The CPU 320 executes software designed to  
7 implement features of the present invention.

8 The software may be in the form of computer-executable instructions stored on a  
9 memory 330 writable and readable by the ASIC 310 and/or CPU 320. For example, the  
10 memory 330 may include one or more of a Random Access Memory (RAM), a Read-Only  
11 Memory (ROM), or any other circuit capable of reading and writing information to the  
12 ASIC 310 and/or the CPU 320.

13 An input interface 340 receives the control signals provided by the input device 220  
14 over the input link 240. For example, if the input device 220 is a remote control, the input  
15 interface 340 is an IR interface.

16 Data from the system network 250 is provided to the computer system 210 via a  
17 network interface 350. Similarly, information from the computer system 210 is provided to  
18 the system network 250 over the network interface 350. The type of network interface 350  
19 depends on the type of system network 250. For example, if the system network 250 is an  
20 Ethernet, the network interface 350 is an Ethernet card.

21 The data is received from and transmitted to the cable network 130 using a cable  
22 modem 360. The cable modem 360 can be compatible with the standard used to transmit  
23 data over the cable link such as the DOCSIS 1.0 standard.

1 Figure 4 is a schematic diagram of the communication components of the computer  
2 system 210 of Figure 2. The memory 330, the network interface 350, and the cable modem  
3 360 may be implemented in hardware. The Hardware Abstraction Layer (HAL) 415, the  
4 cable modem driver 420 (also called “the processing component”), the cable modem  
5 protocol stack 435, the bridging component 440, the computer system protocol stack 445,  
6 and the operating system 450 will be described as being executed as software modules.  
7 However, one skilled in the art will recognize that these units may be implemented in  
8 hardware, or by a combination of software and hardware.

9 The structure of the computer system 210 may best be understood by describing how  
10 the computer system 210 processes incoming and outgoing data. Data packets configured,  
11 for example, according to the DOCSIS 1.0 standard, include a source address and a  
12 destination address. Thus, an outgoing data packet being sent from a communication device  
13 logically connected with the cable modem 360 to the CMTS 120 (Figure 1) has the address  
14 of that communication device as the source address. Conversely, an incoming data packet  
15 has the address of the destination device (e.g., the communication device) as the destination  
16 address.

17 If an incoming data packet has a destination address corresponding to the cable  
18 modem 360, the computer system 210, or one of the customer premises equipment 230, the  
19 cable modem 360 allows the incoming data packet to be delivered to its destination.  
20 Specifically, data packets for the cable modem 360 are routed up through the cable modem  
21 protocol stack 435. The data packets for the computer system 210 are routed up through the  
22 computer system protocol stack 445 to the operating system 450. The data packets for the  
23 customer premises equipment 230 are routed through the network interface 350, over the  
24 system network 250 to the appropriate item of customer premises equipment 230. A method

1 of processing an incoming data packet, which can be used with the cable modems and  
2 networks disclosed herein, is further described in commonly-owned, co-pending U.S. Patent  
3 Application Serial No. \_\_/\_\_\_\_\_, entitled "Hosting a Cable Modem in a Computer using a  
4 Virtual Bridge", filed on the same date as the present application, which is incorporated  
5 herein by reference in its entirety.

6 Unlike incoming data packets, the outgoing data is generated internal to the client  
7 110. For example, the source of the outgoing data may be the cable modem protocol stack  
8 435, the operating system 450, or the customer premises equipment 230. If the source of the  
9 outgoing data is the cable modem protocol stack 435, the outgoing data is passed through  
10 the cable modem driver 420, through the hardware abstraction layer (HAL) 415, and to the  
11 cable modem 360 for transmission over the cable network 130.

12 Hardware abstraction layer 415 establishes a standardized interface by which cable  
13 modem driver 420 and cable modem 360 communicate one with another. Further details of  
14 hardware abstraction layers for use with the present invention are described in commonly-  
15 owned, co-pending U.S. Patent Applications Serial No. \_\_/\_\_\_\_\_, entitled "Interface for  
16 Abstracting Control of a Cable Modem", and Serial No. \_\_/\_\_\_\_\_, entitled "Application  
17 Program Interface for Abstracting Control of a Cable Modem" both of which have been  
18 filed on the same date as the present application and are incorporated herein by reference.

19 Although messages can be generated within the cable modem protocol stack 435, the  
20 present invention is typically implemented when the source of the outgoing data is either the  
21 operating system 450 or one of the items of customer premises equipment 230. In either  
22 case, the outgoing data packet passes through bridging component 440. For instance,  
23 bridging component 440 can recognize computer system 210 (i.e., operating system 450) as  
24 a yet unregistered communication device when cable modem driver 420 has just been

1 installed in the computer system 210 and is being used for the first time to transmit outgoing  
2 data packets from operating system 450 and protocol stack 445. Bridging component 440  
3 can recognize each item of customer premises equipment 230 as a yet unregistered  
4 communication device when the item of customer premises equipment has just been  
5 networked with computer system 210 and is being used for the first time to transmit  
6 outgoing data packets.

7 Figure 5 is a flowchart of a method 500 for processing outgoing data. This method  
8 will be described with frequent reference to Figure 4. First, the bridging component 440  
9 receives an outgoing data packet for transmission onto the cable network 130 from a  
10 communication device. This step is broken down into steps 505, 510, 515, 520, 525, 530,  
11 535, and 540 of Figure 5.

12 In step 505, the communication device, which may be the operating system 450 or  
13 one of the items of customer premises equipment 230, generates a message (step 505) to be  
14 transmitted over the cable network 130 or to be transmitted internally to the operating  
15 system 450 or to one of the items of customer premises equipment 230. Next, a data packet  
16 is generated from the message (step 510). If the message is generated by the operating  
17 system 450 of the computer system 210, the packet is generated by the computer system  
18 protocol stack 445. If the message is generated by one of the items of customer premises  
19 equipment 230, the packet is generated by a protocol stack within the appropriate item of  
20 customer premises equipment 230.

21 In one embodiment, the operating system 450 is a Windows® CE operating  
22 environment. In this case, an appropriate computer system protocol stack 445 would include  
23 a Winsock interface for passing the message from the operating system 450 to the TCP/IP  
24 protocol layers for fragmentation of the message into packets. A Virtual MCNS Miniport

1 Driver and a standard network NDIS driver can also be used to move the data down through  
2 the computer system protocol stack 445.

3 If the generating communication device (i.e., the operating system 450 or the  
4 customer premises equipment 230) is not capable of sharing a buffer 405 with the cable  
5 modem driver 420 (NO in decision block 515), the generating communication device  
6 requests that the bridging component 440 allocate a separate buffer 455 (see Figure 4)  
7 within the memory 330 for the client 110 (step 520). The bridging component 440 then  
8 allocates memory for the separate buffer 455 and informs the generating communication  
9 device of the location of the separate buffer 455 (step 525).

10 The generating communication device then writes the packet to the separate buffer  
11 455 (step 530). If the generating communication device is capable of sharing the buffer 405  
12 with the cable modem driver 420 (YES in decision block 515), the generating  
13 communication device writes the packet 410 to the buffer 405 (step 535).

14 After the generating communication device has written the packet 410 to the  
15 appropriate buffer (step 530 or step 535), the bridging component 440 accesses the packet  
16 (step 540) and determines whether the source address of the packet matches the address of  
17 any communication devices that have registered with the bridging component 440 (decision  
18 block 545). For example, the bridging component 440 may check the address filter  
19 information.

20 If the bridging component 440 determines that the source network address is not  
21 included in the address filter information (NO in decision block 545), the bridging  
22 component 440 performs the step of adding the source network address to the address filter  
23 information 460 (step 550). If the bridging component 440 has determined that it is aware  
24 of the source address (YES in decision block 545) or after the new address is added (step

1 550), the bridging component 440 then determines whether the destination of the packet is  
2 internal to the client 110 (decision block 555). Specifically, the internal destination might  
3 be the operating system 450 or one of the items of customer premises equipment 230.

4 If the destination of the packet is internal (YES in decision block 555), the bridging  
5 component transmits the packet to the appropriate internal communications device (step  
6 560), and then the packet is deleted (step 580) thereby ending the method 500. If the  
7 destination is not internal to the client 110 (NO in decision block 555), the packet is sent to  
8 the cable modem driver 420 (step 570). The cable modem driver 420 then causes the cable  
9 modem 360 to transmit the packet (step 575). Next, the packet is deleted (step 580) thereby  
10 ending the method.

11 It is notable that the method 500 described above automatically adds new addresses  
12 to the address filter information. When a new item of customer premises equipment 230 is  
13 added to the client 110, the bridging component 440 may initially be unaware of the address  
14 of that new piece of equipment. However, once the new item of customer premises  
15 equipment 230 generates and sends an outgoing message over the cable network 130, the  
16 bridging component 440 becomes aware of the new address. Thus, the cable modem 360  
17 does not filter out data packets destined for the new item customer premises equipment 230.  
18 Thus, the systems and methods of the present invention automatically update address filter  
19 information.

20 While the present invention has been described in the context of cable modems  
21 hosted by and integrally included in computers, the invention can also be practiced with  
22 cable modems that are external to the computer with which it is directly linked. In this  
23 embodiment, a processing component, such as a cable modem driver, monitors the source  
24 address of outgoing data packets and adds any source addresses not previously recognized to

the address filtering information. Moreover, as used in the claims, a method that is described as being implemented in a processing device and a cable modem associated with the processing device can have acts or steps that are conducted in the processing device, in the cable modem, or in both.

The present invention may be embodied in other specific forms without departing from its spirit or essential characteristics. The described embodiments are to be considered in all respects only as illustrative and not restrictive. The scope of the invention is, therefore, indicated by the appended claims rather than by the foregoing description. All changes which come within the meaning and range of equivalency of the claims are to be embraced within their scope.

What is claimed and desired to be secured by United States Letters Patent is:



1. In a processing device and a cable modem associated with the processing device, a method for recognizing a communication device associated with the processing device, the method comprising the acts of:

receiving an outgoing data packet from the communication device, the outgoing data packet having an address identifying the communication device;

comparing the address with a list of addresses that identify any communication devices that have previously been registered with the processing device;

determining that the address is not included in the list; and

adding the address to the list of addresses.

2. The method according to claim 1, wherein the processing device comprises abridging component.

3. The method according to claim 2, wherein the act of receiving comprises the acts of:

the communication device writing the outgoing data packet to a buffer accessible by the bridging component associated with the cable modem; and

the bridging component accessing the outgoing data packet in the buffer.

4. The method according to claim 2, further comprising the acts of:

determining that the destination of the outgoing data packet is not destined for a communications device that is local to the processing device; and

transmitting the outgoing data packet over a cable network

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5. The method according to claim 2, further comprising the act of:  
determining that the destination of the outgoing data packet is destined for a  
communications device that is local to the processing device; and  
transmitting the outgoing data packet to the communications device that is  
local to the processing device.

6. The method according to claim 2, wherein the act of comparing comprises  
the act of the bridging component comparing the address with a list of addresses that  
identify any communication devices that have previously been registered with the bridging  
component.

7. The method according to claim 2, wherein the act of determining comprises  
the act of the bridging component determining that the address is not included in the list.

8. The method according to claim 2, wherein the act of adding comprises the act  
of the bridging component adding the address to the list of addresses.

9. The method according to claim 1, further comprising the act of the  
communication device generating the outgoing data packet.

10. The method of claim 1, further comprising the acts of:

1 determining that the communication device cannot share a buffer with the  
2 processing device associated with the cable modem;

3 a bridging component creating a separate buffer for the communication  
4 device; and

5 the communication device writing the outgoing data packet to the separate  
6 buffer.

7  
8 11. The method according to claim 1, further comprising the acts of:

9 using the communication device for the first time to communicate over the  
10 cable network, so as to generate the outgoing data packet;

11 the act of adding the address to the list of addresses resulting in the  
12 communication device being automatically registered by the processing device.

13  
14 12. The method according to claim 1, wherein the processing device comprises at  
15 least a portion of the communication device, the method further comprising the acts of:

16 using the communication device for a first time to communicate over the  
17 cable network after a cable modem driver has been installed in the communication  
18 device; and

19 the act of using the processing device for a first time resulting in the  
20 generation of the outgoing data packet.

1           13.     The method according to claim 1, further comprising the acts of:  
2                 receiving an incoming data packet having a destination address;  
3                 recognizing that the destination address matches the address that has been  
4                 added to the list of addresses; and  
5                 transmitting the incoming data packet to the communication device in  
6                 response to the act of recognizing.

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8           14.     The method according to claim 13, wherein the act of receiving an incoming  
9     data packet comprises the acts of:  
10                receiving the incoming data packet at the cable modem; and  
11                transmitting the incoming data packet to the cable modem driver.

1           15.     In a processing device and a cable modem associated with the processing  
2 device, a method for automatically recognizing a communication device that has been newly  
3 networked with the processing device and is to communicate over a cable network using the  
4 cable modem, the method comprising the steps of:

5                     establishing a network connection between the communication device and the  
6 processing device;

7                     using the communication device to transmit an outgoing data packet to the  
8 cable network using the cable modem, the outgoing data packet including a network  
9 address of the communication device; and

10                    in response to the outgoing data packet, and without user intervention, adding  
11 the network address to address filtering information associated with the cable  
12 modem, so as to result in the communication device being registered to receive  
13 incoming data packets via the cable modem.

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15           16.     The method according to claim 15, wherein the processing device comprises  
16 a bridging component.

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18           17.     The method according to claim 15, wherein a cable modem is hosted by the  
19 processing device and is internal to the processing device.

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21           18.     The method according to claim 15, wherein the cable modem is external to  
22 the processing device.

1 19. The method according to claim 15, wherein the step of adding the network  
2 address to the address filtering information comprises the acts of:

3 comparing the network address with a list of addresses that identify any  
4 communication devices that have previously been registered to receive incoming  
5 data packets via the cable modem;

6 determining that the network address is not included in the list; and

7 adding the network address to the list of addresses  
8

9 20. The method according to claim 15, further comprising the acts of:

10 receiving an incoming data packet having a destination address;

11 recognizing that the destination address matches the network address that has  
12 been added to the list of addresses; and

13 transmitting the incoming data packet to the communication device in  
14 response to the act of recognizing.  
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1           21.     A computer program product for implementing, in a processing device and a  
2 cable modem associated with the processing device, a method for recognizing a  
3 communication device that is to communicate over a cable network using the cable modem,  
4 the computer program product comprising:

5                     a computer-readable medium carrying executable instructions that, when  
6 executed, are capable of performing the acts of:

7                             receiving an outgoing data packet for transmission onto the cable  
8 network from the communication device, the outgoing data packet having an  
9 address identifying the communication device;

10                            comparing the address with a list of addresses that identify any  
11 communication devices that have previously been registered to the processing  
12 device;

13                            determining that the address is not included in the list; and

14                            adding the address to the list of addresses.

15  
16           22.     The computer program product of claim 21, wherein the executable  
17 instructions, when executed, are further capable of performing the acts of:

18                            receiving an incoming data packet having a destination address;

19                            recognizing that the destination address matches the address that has been  
20 added to the list of addresses; and

21                            transmitting the incoming data packet to the communication device in  
22 response to the act of recognizing.

**ABSTRACT OF THE INVENTION**

Automatic compilation of address filter information that permits a cable modem to appropriately route incoming data packets to destination devices is described. Cable modems use address filter information to identify incoming data packets that are addressed to communication devices associated with the cable modem. The address filter information might be, for example, a list of addresses of communication devices that have previously registered with the cable modem. On occasion, the cable modem may have incorrect or insufficient or incomplete filter information when, for instance, a new communication device is added to a group of networked communication devices associated with the cable modem. In order to automatically recognize associated communication devices that are to be registered with the cable modem, a cable modem driver inspects the source address of outgoing data packets. If the source address is not included in the address filter information, the source address is added to the address filtering information. Subsequently, if an incoming data packet addresses the associated communication device, the cable modem driver allows the data packet to be passed through to the communication device.

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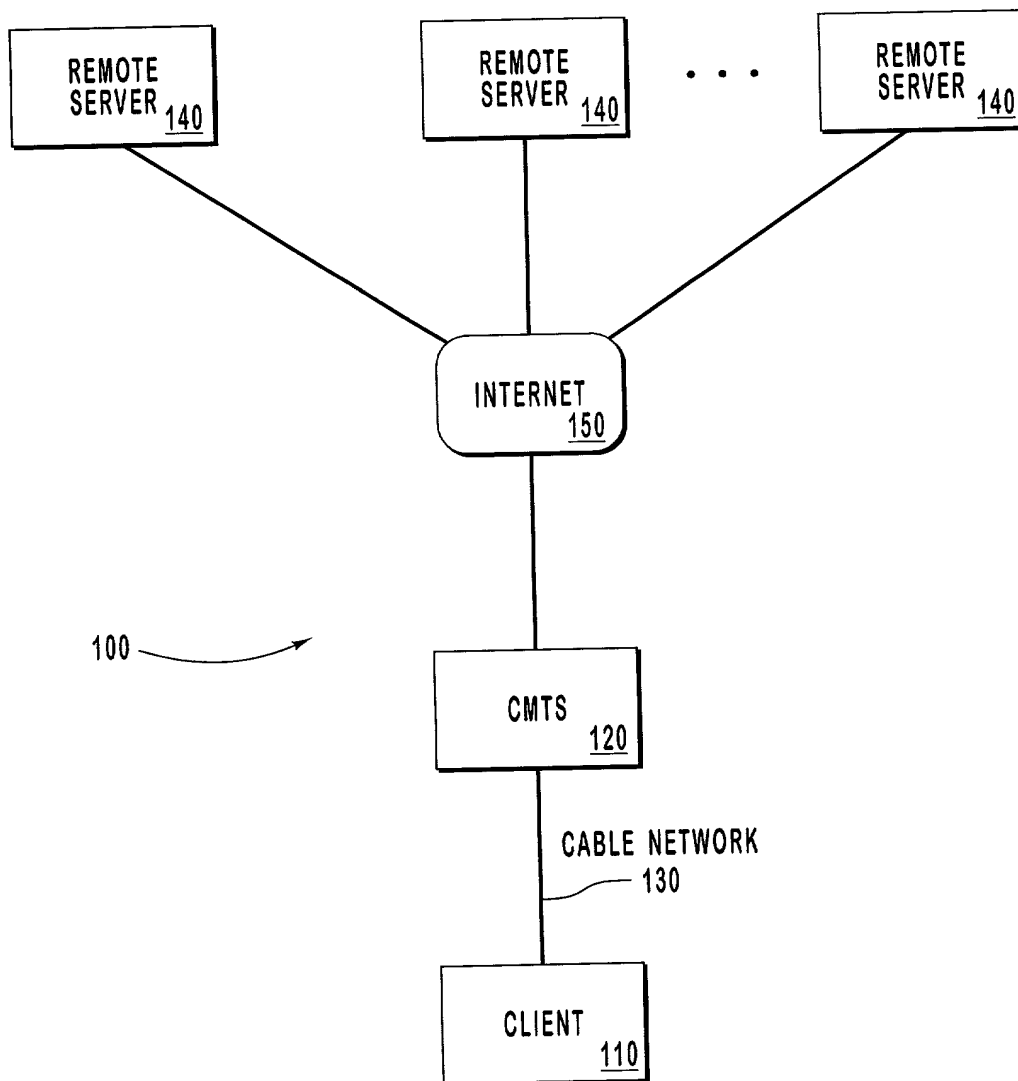


FIG. 1

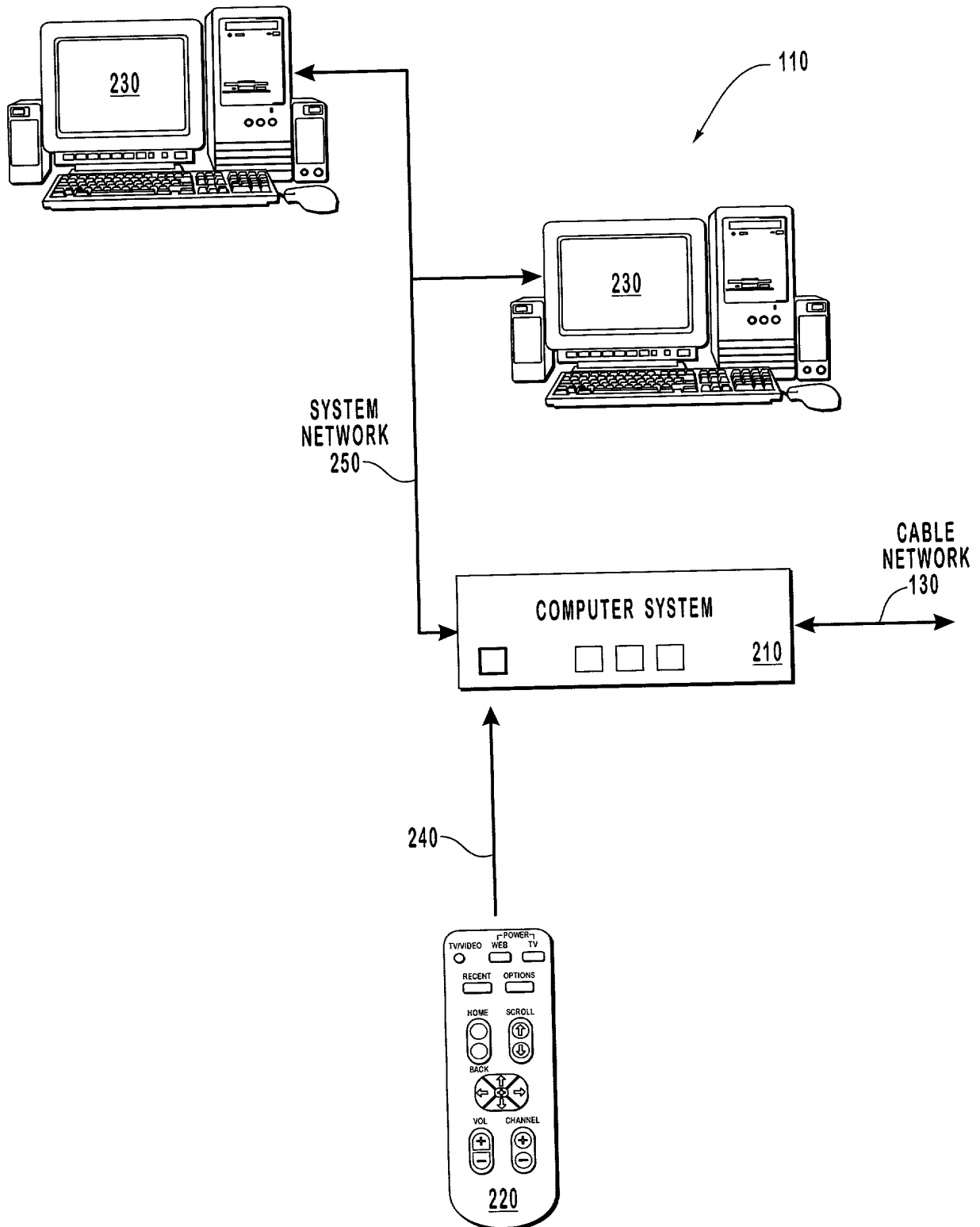


FIG. 2

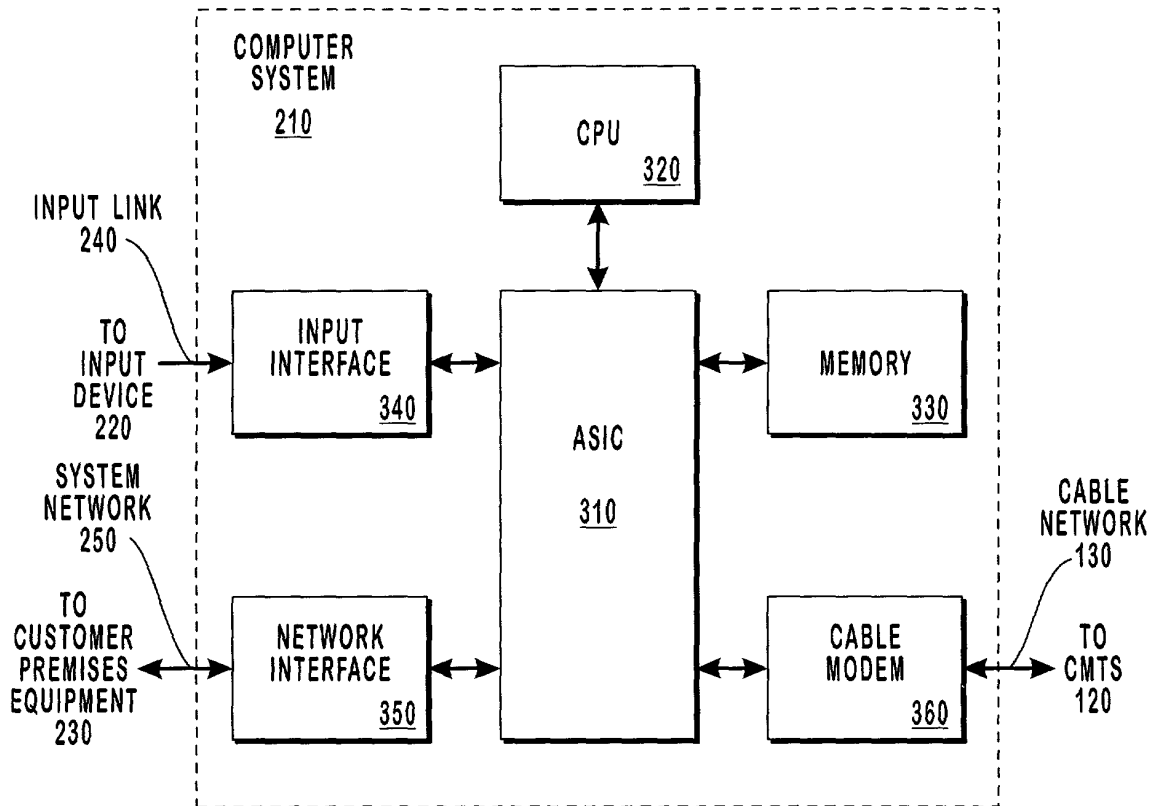


FIG. 3

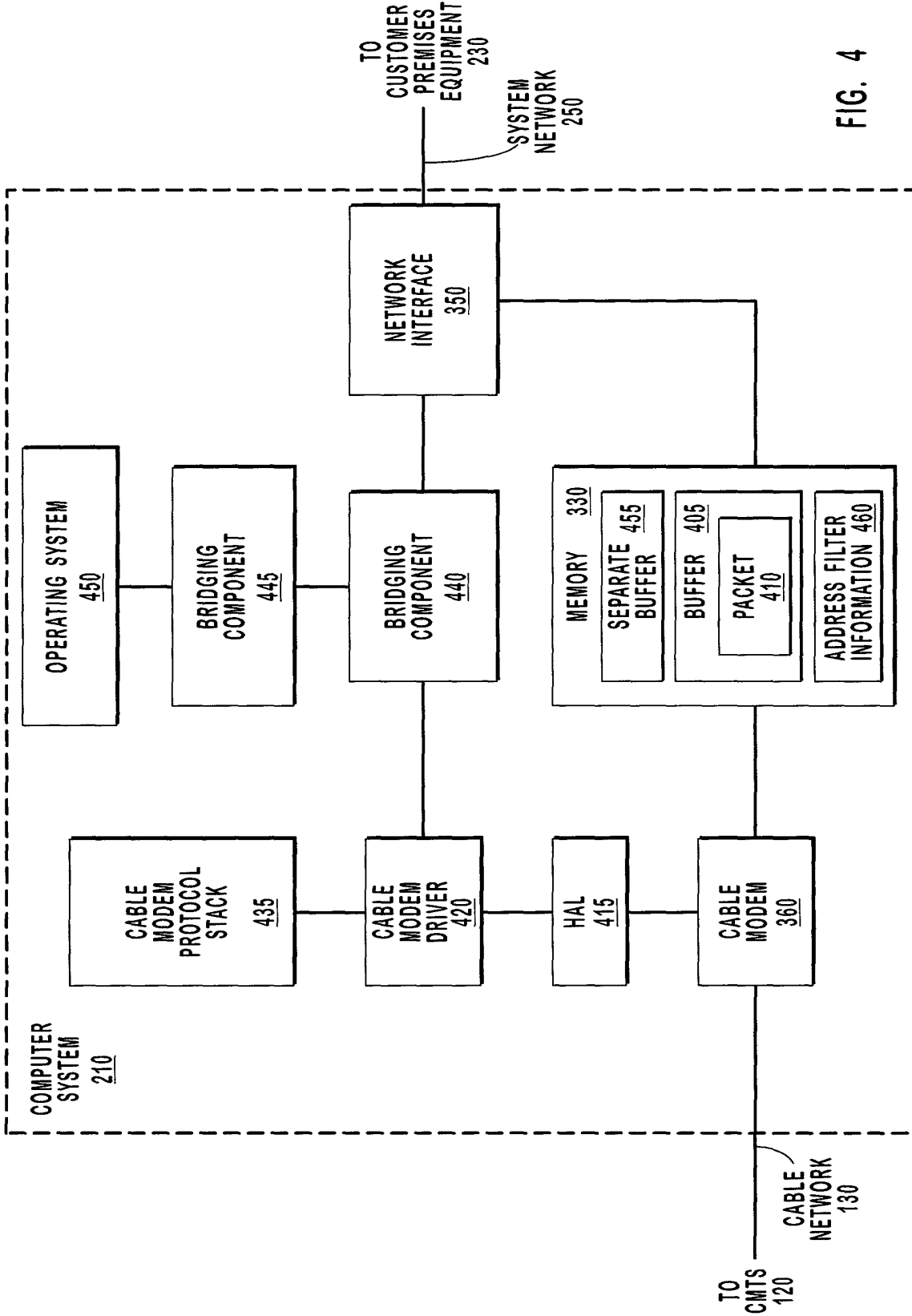


FIG. 4

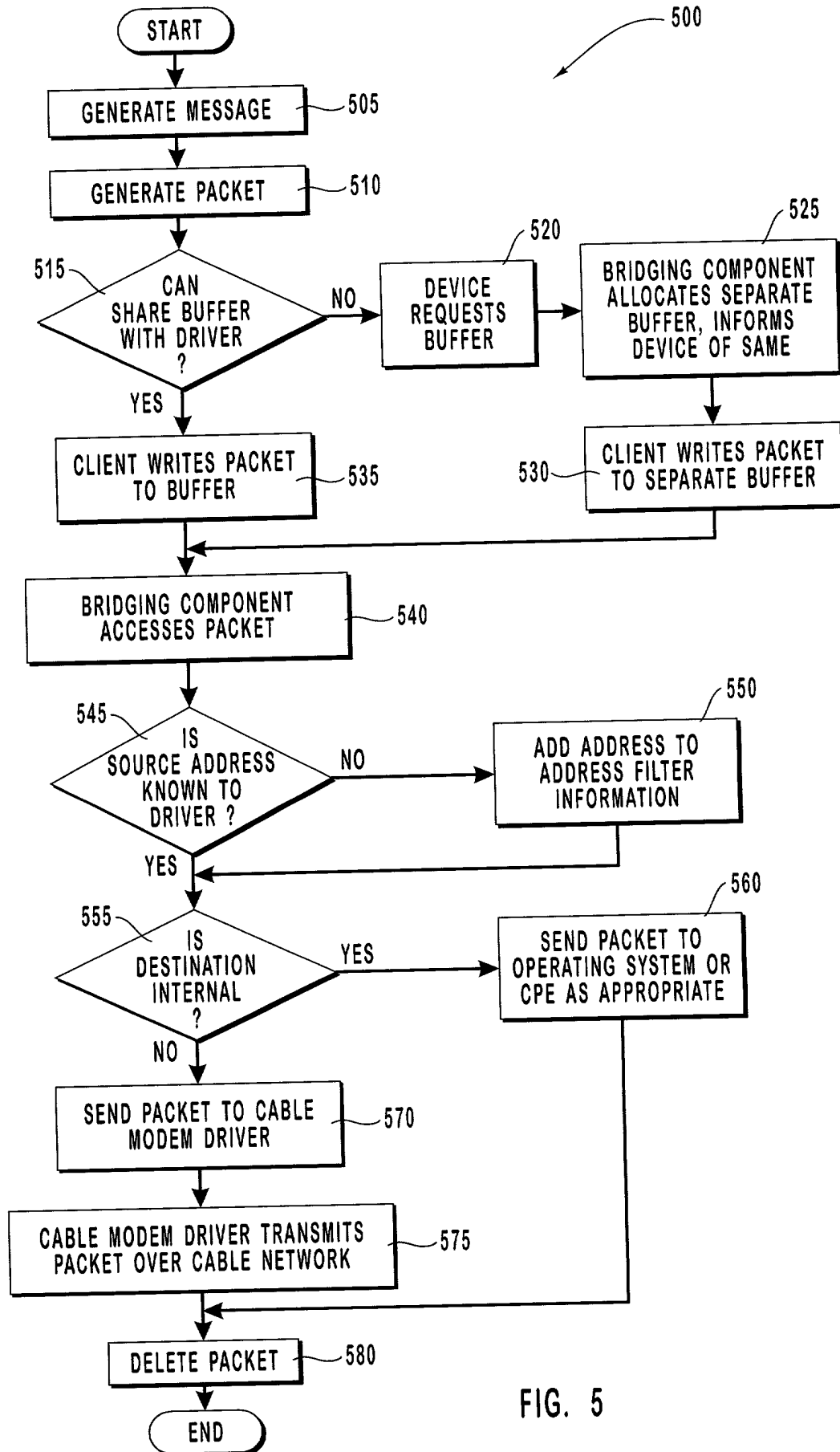


FIG. 5